

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Not yet assigned

Group

Not yet assigned

Applicants

Christian Behl and Bernd Moosmann

Application No.

Not yet assigned

Confirmation No.

Not yet assigned

Filed

Concurrently herewith

For

TRYPOTOPHANYL-ESTERS AND THEIR N-ACYL DERIVATIVES FOR PREVENTING AND TREATING DISEASES CAUSED OR AGGRAVATED BY OXIDATION

PROCESSES

New York, New York March 16, 2001

Hon. Commissioner for Patents Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.97 AND 1.56

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants make of record the following document in the above-identified patent application, copies of which are submitted herewith:

United States Patents

Kathawala et al.

4,448,785

published May 15, 1984

Nugent et al.

5,599,947

published February 4, 1997

Foreign Patent Applications

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EP 0 041 935 issued December 16, 1981 EP 0 240 352 issued October 7, 1987 WO 99 06393 issued February 11, 1999

Other References

Chaykovsky, Michael et al., "Methotrexate analogs. 6. Replacement of glutamic acid by various amino acid esters and amines," J. Med. Chem., 18(9), pp. 909-912 (1975).

Giannola, L. I. et al., "Synthesis and characterization of amino acidic prodrugs of valproic acid," Pharmazie, 53(12), pp. 829-834 (1998).

Giannola, L. I. et al., "L-pyroglutamyl-l-ttyptophan derivatives as potential drug carriers," Pharmazie, DD, Veb Berlag Volk Und Gesundheit. Berlin, 51(7), pp. 487-489 (1996).

Nakamiya, Teruaki et al., "Antibacterial activity of lauryl ester of DL-lysine," <u>Hakko Kogaku Zasshi</u>, 54(6), pp. 369-73 (1976).

Papanastassiou, Zinon B. et al., "Potential carcinolytic agents. V. Enamine mustards," <u>J. Med. Chem.</u>, 10(4), pp. 701-6 (1967).

Rao, Kandukuri S.P. Bhushana et al., "Vinblastin-23-oyl amino acid derivatives: chemistry, physicochemical data, toxicity, and antitumor activities against P388 and L1210 leukemias," <u>J. Med. Chem.</u>, 28(8), pp. 1079-88 (1985).

All of the documents listed above were cited in an International Search Report issued in connection with the counterpart PCT application (copy enclosed). Applicants stand ready to provide a translation of European Application 0041935 A1 at the request of the Examiner.

Applicants request that these documents be (1) fully considered by the Examiner during the examination of this application; and (2) printed on any patent that may issue from this application.

Applicants also request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

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